

6av/1654 \$ 10/20/18

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants

Wolfgang Barnikol

Serial No.

08/869,406

Filed

: June 5, 1997

For

METHOD FOR THE PREPARATION OF MOLECULARLY

UNIFORM HYPERPOLYMERIC HEMOGLOBINS

ATTENTION : APP

APPLICATION BRANCH

Hon. Commissioner of Patents and Trademarks, Washington, D.C. 20231

SUBMISSION OF SMALL ENTITY FORM

Sir:

Applicant submits herewith a Verified Small Entity Form for the above-mentioned application.

Please enter the Small Entity Form in the application file.

Respectfully submitted,

David Toren

Reg. No. 19,468

Date: October 5, 1998

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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Hon. Commission r of Patents and Trademarks, Washington, D.C. 20231

Date: October 5, 1998

David In

TECH CENTER 1600/2900

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATOS (37 CFR 1.9(f) and 1.27(c)) - SMALL BUSINESS CONCERN

Attorney's Docket No. <u>DT-2179</u>
Applicant Wolfgang Barnikol
Applicant Wolfgang Barnikol Serial or Patent No.:
Filed or Issued:
For: METHOD FOR THE PREPARATION OF MOLECULARLY UNIFORM HYPERPOLYMERIC HEMOGLOBINS
I hereby declare that I am
[] the owner of the small business concern identified below:
[] an official of the small business concern empowered to act on behalf of the concern identified below:
NAME OF CONCERN: SanquiBioTech AG
ADDRESS OF CONCERN Alfred-Herrhausen-Str. 44
D-85455 Witten an der Ruhr, Germany
I hereby declare that the above-identifed small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For ppurposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.
I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled
METHOD FOR THE PREPARATION OF MOLECULARLY UNIFORM HYPERPOLYMERIC HEMOGLOBINS
described in
[x] the specification filed herewith
ADDRESS
[] Individual [] Small Business Concern [] Nonprofit Organization
FULL NAME
ADDRESS [] Individual [] Small Business Concern [] Nonprofit Organization
I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these

punishable by fine or imprison States Code, and that such	knowledge that willful false statements and the like so made are onment, or both, under section 1001 of Title 18 of the United willful false statements may jeopardize the validity of the ng thereon, or any patent to which this verified statement is
NAME OF PERSON SIGNING	Prof. Dr. Wolfgang Barnikol
TITLE OF PERSON SIGNING	Prof. Dr. Wolfgang Barnikol Chief Executive
ADDRESS OF PERSON SIGNING	Alfred Henhawen Str. 44 D-58455 W. Her
SIGNATURE Walfa	ang Banihal DATE 28 July 1998